Effectiveness of R/C jacketing of substandard R/C columns with short lap splices

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Abstract. The effectiveness of a retrofitting method for concrete columns with particular weaknesses is experimentally evaluated and presented in this paper. Structural deficiencies namely the inadequacy of transverse reinforcement and short length of lap splices are very common in columns found in structures built prior to the 1960s and 1970s. Recent earthquakes worldwide have caused severe damages and collapses of these structures. Nevertheless, the importance of improving the load transfer capacity between the deficiently lap-spliced bars is usually underestimated during the strengthening procedures applied in old buildings, though critical for the safety of the residents’ lives. Thus, the seismic performance of the enhanced columns is frequently overestimated. The retrofitting approach presented herein involves reinforced concrete jacketing of the column sub-assemblages and welding of the lap-spliced bars to prevent the splice failure and conform to the provisions of modern design Codes. The cyclic lateral loading response of poorly confined original column specimens with insufficient lap splices and the seismic behavior of the retrofitted columns are compared. Test results clearly demonstrate that the retrofitting procedure followed is an effective way of significantly improving the seismic performance of substandard columns found in old buildings.

Keywords: reinforced concrete; columns; R/C jackets; welding; lap splice; reversed cycling loading; seismic retrofitting

1. Introduction

One of the most significant weaknesses of reinforced concrete buildings, designed and constructed prior to the 1960s and 1970s, is the short length of lap splices in columns. Mainly due to lack of capacity design approach and poor detailing of reinforcement, low-confined lap splices of insufficient length, located at the lower part of the columns just above the floor slab are very common in these buildings worldwide. The lap splices were designed only as compressive splices, with typically short lengths of 20 or 24 times (or similar) the diameter of the longitudinal column reinforcement. As a result the substantial tensile forces developing on the bars during earthquakes cannot be transferred between the spliced bars (Cho and Pincheira 2006, Melek and Wallace 2004, Lynn et al. 1996, Valluvan et al. 1993, Chai et al. 1991). Moreover, the lap-splice length requirements for tension are significantly higher than those for compression. Without the beneficial influence of confinement, slipping of the spliced bars occurs before the development of
the column nominal moment capacity, resulting in premature degradation of the column strength, stiffness and energy dissipation capacity. Under large displacement reversals the excessive dilation of splitting cracks along the (poorly confined) deficiently lap-spliced bars causes early loss of the column cover concrete and eventually rapid deterioration of the column strength, due to the splice failure (Priestley et al. 1996). Bond deterioration and slipping of the inadequately lap-spliced bars dominate the load-deflection response of columns representative of those found in old buildings. Hence, the retrofitting techniques applied to old buildings should improve the load transfer capacity between the deficiently lap-spliced bars and ensure the yielding of the longitudinal column reinforcement.

Adding external confinement to the poorly detailed old columns has proved to increase the bond strength between the steel bars and concrete. Harajli (2009) used external FRP jackets to improve the bond strength of lap-spliced column reinforcement bars. A tri-uniform bond stress distribution model was proposed by Thai and Pimanmas (2011) for the prediction of the lap splice strength in columns retrofitted by FRP sheets. El Gawady et al. (2010) investigated the seismic behavior of reinforced concrete columns retrofitted by using CFRP jackets and conventional steel jackets. The bond behavior between steel bars and concrete, when steel wrapping jackets were used as means of external confinement, was studied by Choi et al. (2013). Karayannis and Sirkelis (2008) combined epoxy resin injection and CFRP jacketing for the repair and strengthening of exterior beam-column joints. Reinforced concrete jacketing is also one of the earliest and most common retrofitting techniques used for the enhancement of substandard columns found in existing buildings (Engideniz et al. 2005, Melek and Wallace 2004). Rodriguez and Park (1994) experimentally investigated the effectiveness of reinforced concrete jackets in the repair and strengthening of substandard columns designed prior to the 1970s and noted substantial improvement of the overall seismic performance of the strengthened specimens with respect to the behavior of the as-built columns. Gomes and Appleton (1998) used reinforced concrete jackets for the retrofitting of seriously damaged columns under cyclic lateral loading and examined the influence of parameters such as the length of the jacket, the confinement offered by the jacket, the axial load of the column and the concrete strength of the jacket. In the experimental study of Julio and Fernando (2008) the influence of interface treatment on the seismic performance of columns enhanced with reinforced concrete jackets and subjected to cyclic lateral loading was investigated. Karayannis et al. (2008) examined the effectiveness of local retrofitting exterior beam-to-column joints with thin reinforced concrete jackets. Ten original specimens were constructed and imposed to increasing cyclic lateral loading. After the seismic loading, thin reinforced concrete jackets with reinforcement consisting of small diameter bars were locally constructed to encase the joint region and part of the columns and the beam, in order to improve the strength and energy dissipation capacity of the sub-assemblages, without causing significant changes in the initial size of the elements. The lateral performance of four exterior beam-to-column sub-assemblages with little amount or with lack of transverse reinforcement in the joint region strengthened by three-sided reinforced concrete jackets was studied by Tsonos (2001). One more specimen with lack of joint ties was repaired by a two-sided reinforced concrete jacket and subjected to cyclic lateral loading (Tsonos 2002). In both the cases of three-sided and two-sided jacketing, the strengthened specimens exhibited a desirable ductile failure mode with the formation of the plastic hinges in the beams, while simultaneously buckling of the beam bars was observed. Tsonos (2008) also investigated experimentally the performance of the reinforced concrete jacket system and of the high-strength fiber jacket system in the cases of post-earthquake and pre-earthquake retrofitting of columns and beam-to-column joints. Both repair and strengthening techniques found to be
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d effectiveness. In particular, the reinforced concrete jacket system found to be more effective in a
paper, the efficiency of a retrofitting method for columns representative of those found in structures built prior to the 1960s and 1970s was experimentally investigated. Eight cantilever column sub-assemblages of approximately 1:1.5 scale were designed and constructed. Four of them were original column specimens typical of existing old buildings, designed according to the low-standard requirements of old seismic Codes. These columns possessed the properties of substandard concrete columns with plain steel longitudinal and transverse reinforcement (S220) and normal weight concrete with low compression strength (C8/10), measured on 150x300 mm cylinders (Table 1). The specimens, named O1, L1, O2 and L2, had inadequate shear reinforcement and insufficient lap splices of length equal to 20 and 24 times the bar diameter, located inside the critical region of the columns. A fifth original sub-assemble, C2, was constructed with similar reinforcement details but with continuous longitudinal steel bars. Reinforcement details and material properties of the original specimens are shown in Tables 1-2 and in Fig. 1. The original specimens O1 and O2 were subjected to cyclic lateral loading without been retrofitted, while sub-assemblages L1, L2 and C2, were pre-earthquake strengthened by reinforced concrete jacketing. For the specimens with short lap splices of 20db and 24db, L1 and L2 respectively, additional measures to the confinement provided by jacketing were undertaken, aimed at successfully creating the continuity of the longitudinal column reinforcement and preventing bond-slip deformations during earthquake motions. Thus, jacketing was combined with the welding of the splices along the splice height. Consequently, the retrofitting of columns L1 and L2 provided well-confinement and significant improvement of the bond between steel bars and concrete to prevent the premature splice failure and ensure the development of the longitudinal steel yielding stress. After the interventions columns L1 and L2 were designated RWL1 and RWL2, respectively. The enhanced column RC2 was representative of the optimum load transfer conditions with respect to columns with lap splices and was used as the control specimen. The three pre-earthquake retrofitted sub-assemblages RWL1, RWL2, RC2 and the two original columns, O1, O2, were subjected to inelastic cyclic lateral deformations to represent the equivalent of severe earthquake
motions and test results revealed that the columns with the deficient lap splices achieved a significant improvement of their overall seismic performance when strengthened as described previously.

Table 1 Concrete compression strength and steel yield stress of the original specimens

<table>
<thead>
<tr>
<th>Original Specimen</th>
<th>C_2</th>
<th>O_1</th>
<th>O_2</th>
</tr>
</thead>
<tbody>
<tr>
<td>Concrete compression strength (MPa)</td>
<td>8.94</td>
<td>9.81</td>
<td>8.80</td>
</tr>
<tr>
<td>Steel yield stress (MPa)</td>
<td>374/263.5</td>
<td>374/263.5</td>
<td>374/263.5</td>
</tr>
<tr>
<td>Longitudinal bars / ties</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

(a) the cover concrete of the original specimens is chipped away  
(b) welding of lap splices in the original column and R/C jacket reinforcement  
(c) insertion of epoxy resign

Fig. 1 Retrofitting of the column specimens with deficient lap splices

Fig. 2 Welding of the lap spliced bars according to the C.S.R.T.C (2008). 1: welding starts 10 mm from the bar end, 2: welding direction, 3: space between the welds
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Table 2 Details of the original and retrofitted specimens

<table>
<thead>
<tr>
<th>Specimen</th>
<th>Original columns</th>
<th>Retrofitted columns</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>C₂, O₁*, L₁, O₂*, L₂</td>
<td>RC₂, RWL₁, RWL₂</td>
</tr>
<tr>
<td>Lap splice length (mm)</td>
<td>200 (original column)</td>
<td>240 (original column)</td>
</tr>
<tr>
<td>Column length (mm)</td>
<td>980</td>
<td>980</td>
</tr>
<tr>
<td>Dimensions of column section (mm)</td>
<td>200x200, 200x200</td>
<td>300x300, 300x300</td>
</tr>
<tr>
<td>Longitudinal reinforcement ratio</td>
<td>0.0079</td>
<td>0.0079</td>
</tr>
<tr>
<td>Longitudinal reinforcement of the original columns</td>
<td>4Ø10 S220, 4Ø10 S220, 4Ø10 S220, 4Ø10 S220</td>
<td>4Ø10 S220, 4Ø10 S220, 4Ø10 S220, 4Ø10 S220</td>
</tr>
<tr>
<td>Longitudinal reinforcement of the R/C jacket</td>
<td>– – – –</td>
<td>4Ø10 B500C, 4Ø10 B500C, 4Ø10 B500C, 4Ø10 B500C</td>
</tr>
<tr>
<td>Transverse reinforcement of the R/C jacket (along the critical height)</td>
<td>– – – –</td>
<td>Ø8/80 B500C, Ø8/80 B500C, Ø8/80 B500C, Ø8/80 B500C</td>
</tr>
<tr>
<td>Welded lap splices</td>
<td>– – – –</td>
<td>welded welded</td>
</tr>
<tr>
<td>Loading pattern</td>
<td>Cyclic lateral loading with constant axial load</td>
<td></td>
</tr>
</tbody>
</table>

* Specimens O₁ and O₂ are tested without being retrofitted

Table 3 Nominal moment capacity of the retrofitted columns

<table>
<thead>
<tr>
<th>fₐck (MPa)</th>
<th>Nₐsd **(kN)</th>
<th>Mₐ (kNm)</th>
</tr>
</thead>
<tbody>
<tr>
<td>36.88</td>
<td>150</td>
<td>57.75</td>
</tr>
</tbody>
</table>

* fₐck: Calculated by (Eq.(1)); ** Nₐsd: Constant axial compressive load
3. Retrofitting process

The enhanced columns RWL₁, RWL₂ and RC₂ conformed to the modern code standards for seismic design. During the pre-earthquake strengthening process the cover concrete of columns L₁, L₂ and C₂ was chipped away (see Fig. 1(a)). Before the construction of the reinforced concrete jackets additional measures were undertaken to ensure the increasing of load transfer and the continuity of the spliced bars. Hence, the spliced bars of columns RWL₁ and RWL₂ were welded-up as shown in Fig. 2, to satisfy the requirements of the Code of Steel Reinforcement Technology for Concrete (CSRTC 2008). A four-sided cement grout jacket with additional longitudinal and transverse reinforcement was subsequently constructed according to the recommendations of the Greek Code for the Design of Reinforced Concrete Structures (C.D.C.S., 2000) and to the provisions of Eurocode 2 and 8 (2004). The retrofitted columns are shown in Fig. 4(b). Reinforcement details of the strengthened specimens are presented in Table 2. The longitudinal jacket reinforcement bars were anchored inside the foundation block of the sub-assemblages, after drilling the needed holes and cleaning them with air-pressure. A flowable, nonshrink epoxy resin was inserted inside the holes by syringe to achieve the bonding between the new bars and the concrete (see Fig. 1(c)). Connection between the longitudinal reinforcement of the jackets and the bars of the original columns was achieved by s-shaped steel segments which were welded to the bars (see Figs. 1(b) and 4(b)), according to the provisions of the Code of Steel Reinforcement Technology for Concrete (CSRTC 2008). The transverse reinforcement of the reinforced concrete jackets consisted of B500C 8mm diameter deformed closed ties with welded ends (welded according to CSRTC (2008)). A premixed, non-shrink, rheoplastic, flowable, and non-segregating mortar of high strength was used for constructing the cement grout jacket of the specimens.

4. Shear design of the R/C jackets

The reinforced concrete jackets of the enhanced column specimens were designed in shear according to the provisions of the Greek Code for the Design of Concrete Structures (C.D.C.S., 2000) and the provisions of Eurocode 2 and 8. The concrete compression strength of the retrofitted columns is given by Eq. (1).

According to the provisions of C.D.C.S. 2000 the shear strength of the enhanced column sub-assemblages, \( V_{Rd3} \), is the sum of the shear force resisted by concrete in the compressive zone, \( V_{cd} \), and of that resisted by the transverse reinforcement of the columns, \( V_{wd} \). Moreover, the value of \( V_{Rd3} \) must exceed the maximum shear force, \( V_{sd} \), which corresponds to the nominal moment capacity of the specimens, Eq. (2). Eqs. (3) and (4) give the values of forces \( V_{cd} \) and \( V_{wd} \), respectively. The shear strength of the concrete compression strut, \( V_{Rd2} \), is given by Eq. (5) and must also exceed the value of \( V_{sd} \). Eventually, closed B500C ties of 8 mm diameter spaced at 80 mm should be placed along the critical height of the columns according to the C.D.C.S 2000.

\[
\frac{\Delta_{s,ex} f_{ck, ex} + \Delta_{s,cf} f_{ck}}{\Delta_{s,ex} + \Delta_{s,cf}} = f'_{ck}
\]

\[
V_{Rd3} = V_{wd} + V_{cd} > V_{sd}
\]
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\[ V_{cd} = 0.3 \cdot V_{Rd1} \quad \text{for} \quad v > -0.1 \]

where

\[ V_{Rd1} = \left[ \tau_{kd} \cdot k \cdot (1.2 + 40 \cdot \rho_l) + 0.15 \cdot \sigma_{cp} \right] \cdot b_w \cdot d \]  

\[ V_{wd} = \left( \frac{A_{sw}}{s} \right) \cdot 0.9 \cdot d \cdot f_{ywd} \]  

\[ V_{Rd2} = \frac{1}{2} \cdot v \cdot f_{cd} \cdot b_w \cdot z > V_{sd} \]

In Eqs. (1)-(5) \( A_{s,ex} \), \( A_{s,i} \), \( f_{ck,ex} \) and \( f_{ck,i} \) are the cross section area and the characteristic concrete strength of the existing column and of the R/C jacket, respectively. \( \tau_{kd} \) is a design factor of shear strength where \( \tau_{RD} = 0.25 \cdot f_{ck0.05}/1.5 \) and \( f_{ck0.05} = 0.7 \cdot f_{ctm} \) where \( f_{ctm} = 0.3 \cdot f_{ck}^{2/3} \). \( k \) is equal to \( 1.6 - d \) where \( d \) is the effective depth of the cross-section, \( \rho_l = A_{sl}/(b_w \cdot d) \leq 0.02 \) where \( A_{sl} \) denoted the cross section area of the longitudinal reinforcement under tension, \( \sigma_{cp} = N_{sd}/A_c \) where \( N_{sd} \) is the axial force applied to the column and \( A_c \) the cross section area of the retrofitted column, \( A_{sw} \) is the cross section area of the transverse reinforcement, \( s \) is spacing of the stirrups, \( f_{ywd} \) is the yield strength of the transverse reinforcement, \( v \) is the normalized design axial force, \( b_w \) the cross-sectional depth of the column and \( z = 0.9 \cdot d \).

The strengthened columns were also designed to conform to the provisions of Eurocode 2 and 8. Therefore, the control of the concrete compression strut adequacy was made according to equation Eq. (6). In Tables 4 and 5 the Eurocode provisions for the shear reinforcement are presented. The transverse reinforcement demand is finally given by Eq. (7), where it is recommended that \( V_{Rd,s} \geq V_{sd} \). Ultimately, in order to conform to the provisions of the Eurocode for the shear design of reinforced concrete columns, closed B500C ties of 8 mm diameter spaced at 80 mm should be placed along the critical height of the strengthened sub-assemblages.

\[ V_{Rd,max} = a_{cw} \cdot b_w \cdot z \cdot v_1 \cdot f_{cd} / (\cot \theta + \tan \theta) \]  

\[ V_{Rd,s} = \left( \frac{A_{sw}}{s} \right) \cdot z \cdot f_{ywd} \cdot \cot \theta \geq V_{sd} \]

In Eqs. (6) and (7) \( a_{cw} \) is a coefficient taking account of the state of the stress in the concrete compression strut, \( v_1 \) is the strength reduction factor for concrete cracked in shear with recommended value of \( 1 - f_{ck} (MPa)/250 \) and \( \theta = 21.8^\circ \).

5. Test setup and loading history

The seismic tests of the original and of the pre-earthquake strengthened sub-assemblages were conducted in the test frame shown in Fig. 3. The structures were fixed to the test frame with post-tensioned bars (bolts) and thus, the horizontal and vertical displacement and the rotation of the foundation block of each column were restrained. All specimens were subjected to a large number of inelastic cycles applied by slowly displacing the column’s free end. The lateral load was applied to the free end of each column by a two-way actuator and the applied loads were measured by using a load-cell. An axial load of 150 kN was also imposed by a hydraulic jack (see Fig. 3) placed on top of the columns, perpendicular to the lateral loading direction and was
controlled to keep constant during the seismic tests. The load point displacement was measured by a calibrated linear variable differential transducer (L.V.D.T.). Strain gages were installed in various positions of the longitudinal reinforcement of each specimen. All the enhanced sub-assemblages were loaded transversely according to the load history shown in Fig. 5. The top displacement amplitudes correspond to drift ratios that are shown in Table 6.

Table 4 Shear design of R/C jackets according to the provisions of C.D.C.S. 2000 and Eurocode 2 and 8

<table>
<thead>
<tr>
<th>Design of the R/C Jacket in Shear</th>
<th>Eurocode 2, 8</th>
</tr>
</thead>
<tbody>
<tr>
<td>$V_{sd,*}$ (kN)</td>
<td>$V_{Rd,max}$ (kN)</td>
</tr>
<tr>
<td>$V_{Rd1}$ (kN)</td>
<td>$V_{Rd,max}$ (kN)</td>
</tr>
<tr>
<td>$V_{Rd2}$ (kN)</td>
<td>$V_{Rd,\geq V_{sd}}$ (kN)</td>
</tr>
<tr>
<td>$V_{sd}$ (kN)</td>
<td>$V_{Rd,\geq V_{sd}}$ (kN)</td>
</tr>
<tr>
<td>$V_{Rd3}$ (kN)</td>
<td>$V_{Rd,\geq V_{sd}}$ (kN)</td>
</tr>
</tbody>
</table>

*$V_{sd}$: Shear force corresponding to the moment resistance of the retrofitted columns; **s: Spacing of the stirrups

Table 5 Column critical height and spacing of the transverse reinforcement according to Eurocode 2 and 8

<table>
<thead>
<tr>
<th>Eurocode 2 and 8</th>
</tr>
</thead>
<tbody>
<tr>
<td>Column critical height (m)</td>
</tr>
<tr>
<td>EC 8 – 5.4.3.2.2.(4)</td>
</tr>
<tr>
<td>$h_c \cdot \frac{l_{el}}{6}$, 0.45 m</td>
</tr>
<tr>
<td>0.50</td>
</tr>
<tr>
<td>200</td>
</tr>
</tbody>
</table>

*$b_o$: width of the confined core (to the centerline of the hoops) $b_o = b - 2 \cdot (c + \varnothing_w / 2)$, where $\varnothing_w$ denotes the diameter of ties and $c$ is the width of the cover concrete, $**d_{bl,\min}$: minimum diameter of the longitudinal steel bars

Table 6 Top displacement amplitude and inter-storey drift ratio

| Top displacement amplitude $\Delta L$ and inter-storey drift angle ratio $R$ |
|------------------|--------------|
| Cycle of loading | 1 2 3 4 5 6 7 8 9 10 11 |
| $\Delta L$ (mm) | 15 20 25 30 35 40 45 50 55 60 65 |
| Drift: $(\Delta L/L^*) \%$ | 1.53 2.04 2.55 3.06 3.57 4.08 4.59 5.10 5.61 6.12 6.63 |

*$L$: Column length
6. Comparison of the test results

The effectiveness of the retrofitting technique applied to columns RWL₁ and RWL₂ in improving their overall response under large displacement reversals imposed by earthquakes is subsequently evaluated with respect to the cyclic lateral performance of the original columns O₁ and O₂ and that of the control specimen RC₂. Thus, the energy dissipation capacity, peak-to-peak stiffness and lateral strength of the sub-assemblages are compared in each cycle of the seismic loading.

In Fig. 6 the plots of applied shear-versus-displacement of the original and strengthened specimens are illustrated. The spindle-shaped hysteresis loops of the retrofitted columns RWL₁ and RWL₂ demonstrate the substantial improvement achieved, concerning energy dissipation capacity, with respect to the substandard column specimens O₁ and O₂. High values of energy were dissipated in the plastic hinges of RWL₁ and RWL₂ (see Fig. 7). These values exceeded in some cases even those of the control specimen RC₂. In the first cycle of lateral loading the energy dissipated of specimen RWL₁ was 69.62 percent higher than that of column O₁, while in the case of specimens RWL₂ and O₂ the corresponding value was 45.39 percent (see Figs. 7(a) and 7(b)).
During the incremental displacement of lateral loading the strengthened specimens RWL\(_1\) and RWL\(_2\) showed a significant gradual increase of energy dissipation, while in the case of the original columns energy dissipation capacity remained low until the seventh cycle (drift angle \(R\) equal to 4.59 percent). For lateral displacement of 45 mm, values of dissipated energy ratios RWL\(_1\)/O\(_1\) and RWL\(_2\)/O\(_2\) were equal to 5.2 and 5.4, respectively. Even for large displacements amplitude cycles of inter-storey drift, \(R\), beyond 4.08 percent and up to the end of the seismic loading the retrofitted sub-assemblage RWL\(_1\) continued to dissipate high values of seismic energy. After 11 cycles of lateral loading the dissipated energy ratio RWL\(_1\)/RC\(_2\) was equal to 0.786, while values of ratio RWL\(_2\)/RC\(_2\) were between 0.719 (in the first cycle) and over 1.00 for large displacements of inter-storey drift between 3.57 and 6.12 percent.

The peak-to-peak stiffness of the original (O\(_1\) and O\(_2\)) and strengthened (RWL\(_1\), RWL\(_2\) and RC\(_2\)) column sub-assemblages is summarized in Fig. 8. A substantial increase in stiffness of the retrofitted columns RWL\(_1\) and RWL\(_2\), with respect to that of the original specimens O\(_1\) and O\(_2\), was observed. For lateral displacement of 15mm stiffness of column RWL\(_1\) was 2.62 times higher than the stiffness of the original sub-assemblage, L\(_1\), while in the case of columns RWL\(_2\) and L\(_2\) the stiffness value of the retrofitted specimen was 2.34 times the value of the original one. After seven cycles of loading, specimens O\(_1\) and O\(_2\) practically collapsed under the axial load of the columns, due to the excessive seismic damage. Thus, the peak-to-peak stiffness values of these sub-assemblages were equal to 0.078 and 0.14 kN/mm respectively, while for the same lateral displacement of 45mm the strengthened specimens RWL\(_1\) and RWL\(_2\) showed 16.42 and 9.05 times higher values than columns O\(_1\) and O\(_2\), respectively. During the seismic tests, the stiffness values of sub-assemblages RWL\(_1\) and RWL\(_2\) were almost similar and after eleven cycles of lateral loading (drift 6.63 percent) the columns maintained 15.7 and 20.45 percent of their initial stiffness, respectively. In the case of the control specimen, RC\(_2\), the corresponding value was 26.49 percent. The effectiveness of the column retrofitting in improving the stiffness of the sub-assemblages was also evaluated by the values of stiffness ratios RWL\(_1\)/RC\(_2\) and RWL\(_2\)/RC\(_2\), which were equal to 0.613 and 0.746, respectively, at the end of the seismic tests.

In Fig. 9 the envelope curves of the original and retrofitted columns are presented. The hysteretic response of the sub-assemblages demonstrates the significant improvement in lateral strength of columns RWL\(_1\) and RWL\(_2\), with respect to that of the original specimens with deficient lap splices, O\(_1\) and O\(_2\). This is clearly illustrated in Fig. 10, where values of lateral strength of the original and retrofitted sub-assemblages are compared. In the first lower half cycle of the lateral loading the strength of column RWL\(_1\) was 61.07 percent higher than the strength of the corresponding original specimen, O\(_1\), while in the case of columns RWL\(_2\) and O\(_2\) this value was equal to 57.63 percent. For seismic actions of inter-storey drift angle, \(R\), of 4.59 percent the strength ratio values RWL\(_1\)/O\(_1\) and RWL\(_2\)/O\(_2\) of the upper half cycles were equal to 17.93 and 10.46, respectively. During the incremental displacement of the lateral loading, strength values of the control specimen RC\(_2\) were gradually increased, while a minor, mild reduction of strength was observed during the seismic tests of the retrofitted columns RWL\(_1\) and RWL\(_2\). Nevertheless, the strength of these columns was substantially higher than the strength of the original specimens and very close to the corresponding values of the control specimen, RC\(_2\), until the end of eleven cycles of seismic loading. After eleven cycles of loading (drift angle \(R\) 6.63 percent), the lateral strength of structure RWL\(_1\) was 57.45 percent (push half-cycle) and 66 percent (pull half-cycle) the strength of the control specimen, while in the case of column RWL\(_2\) these values were 62.8 and 88.76 percent, respectively.
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(a) Original column specimen with deficient lap splices
(b) Retrofitted column via R/C jacketing and welding of the lap-spliced bars

Fig. 4 Original and retrofitted column specimens

Fig. 5 Lateral displacement history
7. Failure mode of the enhanced sub-assemblages and evaluation of the strengthening process

The performance of both the original and retrofitted sub-assemblages under the cyclic lateral loading is presented here and discussed in terms of load-deformation response and failure mode of each column. Figs. 6-10 clearly demonstrate the effectiveness of the retrofitting of both the columns with deficient lap splices of 20$d_b$ and 24$d_b$ and the specimen with continuous reinforcement in improving the overall lateral response of the strengthened sub-assemblages. The substantial improvement in the seismic behavior of columns RWL$_1$ and RWL$_2$, with respect to the lateral performance of the original specimens, O$_1$ and O$_2$, is reflected in the hysteresis loops shown in Figs. 6(a) and 6(b).

![Hysteretic loops of specimens O$_1$ and RWL$_1$]

(a) Hysteretic loops of specimens O$_1$ and RWL$_1$

![Hysteretic loops of specimens O$_2$ and RWL$_2$]

(b) Hysteretic loops of specimens O$_2$ and RWL$_2$

![Hysteretic loops of specimen RC$_2$]

(c) Hysteretic loops of specimen RC$_2$

Fig. 6 Plots of applied shear-versus-displacement for the original and the retrofitted column sub-assemblages
Specimens O₁ and O₂, representative of columns with substandard reinforcement details found in structures build prior to the 1960s and 1970s, performed poorly under reversed lateral deformations. Hence, the hysteresis loops of these sub-assemblages were characterized by significant deterioration of strength and peak-to-peak stiffness. Moreover, excessive slipping of the deficiently lap-spliced bars dominated the load-deflection response of the columns for seismic actions of inter-storey drift angle, R, beyond 3.57 percent. As a result, the energy dissipation capacity of the specimens was also poor. Due to the insufficient length of lap splices and low confinement, the load transfer between the column reinforcement bars was inadequate, while significant bond slip deformations were accumulated between concrete and the longitudinal bars along the splice length. As a result, the substandard columns O₁ and O₂ exhibited premature failure, after seven cycles of lateral loading, practically collapsed under the loss of load-carrying capacity (see Figs. 11(d) and 11(e)). The effectiveness of the retrofitting technique applied in columns with deficient lap splices is clearly demonstrated by the spindle-shaped hysteresis loops of columns RWL₁ and RWL₂. High levels of seismic energy were dissipated in the plastic hinges of these sub-assemblages, similar to those dissipated in the case of the control specimen RC₂, with the continuous reinforcement bars in the initial column.

![Energy dissipation comparison](image)

(a) Specimens O₁ and RWL₁ 
(b) Specimens O₂ and RWL₂ 
(c) Strengthened specimens RC₂, RWL₁ and RWL₂

Fig. 7 Energy dissipation comparison
The welding of the lap-spliced bars successfully created load transfer between the spliced bars and the jacketing of specimens RWL₁ and RWL₂ provided well confinement of the column critical region, preventing bond slip deformations along the lap splices. A significant increase in strength, peak-to-peak stiffness and energy dissipation capacity of the retrofitted column specimens was observed. Hence, columns RWL₁ and RWL₂ showed a great, ductile behavior under reversed lateral displacements imposed to simulate the equivalent of strong earthquakes. Strain values of both the lap-spliced steel bars and the longitudinal reinforcement of the jackets were measured by electrical-resistance strain gauges, which were installed in the bars of each specimen. From the displacement-versus-strain diagrams (see Fig. 11) it is concluded that steel strain values of the strengthened sub-assemblages significantly exceeded the steel yielding strain in most of the cases, even for the lap-spliced bars, indicating the effectiveness of the retrofitting technique applied. Unlike the enhanced specimens, strain values of the lap-spliced bars in the original sub-assemblages O₁ and O₂ were very low, due to the excessive bond slip deformations between the concrete and the reinforcing bars along the lap splices.
Effectiveness of R/C jacketing of substandard R/C columns with short lap splices

The overall seismic behavior of the specimens, which were subjected to a large number of inelastic cycles, is also reflected in the failure mode of the columns (Fig. 12). Both the original columns \(O_1\) and \(O_2\) with deficient lap splice lengths equal to \(20d_b\) and \(24d_b\), respectively, exhibited unfavourable, brittle, premature splice failure. During the first cycle of loading hairline cracks were formed around the columns, while the first splitting cracks along the splice length started to form for drift angle, \(R\), equal to 2.55 percent. During the incremental displacement of the lateral loading, gradual dilation of these cracks resulted in early bond failure between the concrete and the lap-spliced steel bars, loss of the cover concrete and subsequently in disintegration of the core concrete due to the decrease of the load-carrying capacity of the columns. Consequently, after the failure of the transverse reinforcement, the longitudinal bars buckled under the axial load of the specimens. Eventually, the severe accumulated damage in the critical region of the original sub-assemblages resulted in the collapsing of the columns, which were unable to withstand the vertical axial load. The enhanced sub-assemblages exhibited cracking patterns dominated by flexure. After the formation of the main flexural crack at the bottom end of the columns, a few more hairline cracks were formed along the critical height during the first three or four cycles of

Fig. 10 Strength comparison of the original and strengthened specimens
loading. No further damage, such as loss of the cover concrete, disintegration of the core concrete or buckling of the longitudinal reinforcement, were observed in the reinforced concrete jackets of the specimens, due to the high strength of the jackets, constructed by a premixed, non-shrink, rheoplastic, flowable, and non-segregating mortar of high strength.

Ultimately, the strengthened sub-assemblages achieved a significant improvement of their flexural strength, stiffness and energy dissipation capacity and showed great seismic performance even for large inelastic deformations. All the retrofitted columns exhibited ductile failure, with the formation of the plastic hinge in the bottom end of the columns.

8. Monitoring of steel micro-strain

Fig. 11 illustrates the plots of displacement-versus-strain observed in each cycle of the seismic loading for the plain longitudinal reinforcement of the initial column and for the longitudinal steel bars of the jacket of sub-assemblage RWL_2 (see Figs. 11(b)-11(d)). Reinforcement details of the enhanced sub-assemblage RWL_2 and the location of each strain gage are shown in Fig. 11(a). The displacement-versus-strain diagrams for the longitudinal bars of the original columns O_1 and O_2 are also presented in Figs. 11(e) and 11(f). The location of the strain gages in the original column specimens was the same with that of strain gage No2 in column RWL_2. The premature lap splice failure in the original columns O_1 and O_2 resulted in very low strain of the longitudinal steel reinforcement bars. The plots shown in Figs. 11(e) and 11(f) indicate that both the original columns with lap splices performed poorly under the imposed seismic lateral loading.

Strain values of the longitudinal bars of column sub-assemblage RWL_1 were very close to the steel yield strain and continued to increase during the incremental displacement of the lateral loading. This increase in strain values indicates that the continuity of the lap-spliced reinforcement bars was successfully created and bond slip deformations between concrete and the bars were prevented (Ehsani and Wight 1985). Strain values higher than yield strain were also observed in the case of specimen RWL_2 for both the reinforcement bars of the jacket (strain gages No1 and No3) and for the plain lap-spliced bars of the initial column (strain gage No2). The continuous increase in steel strain, observed in specimens RWL_1 and RWL_2, for both the lap-spliced bars of the existing columns and the longitudinal reinforcement of the jackets, reflects the effectiveness of the retrofitting technique in improving the load transfer between the deficiently lap-spliced bars.

9. Conclusions

In this study, an experimental program was conducted for eight 1:1.5 scale reinforced concrete rectangular column specimens to identify the feasibility and performance of a pre-earthquake retrofitting technique applied in substandard columns with deficient lap splices. Five original specimens representative of those found in pre-1970 structures, one with continuous longitudinal reinforcement, two with lap splices of 20d_b length and two more with lap splices of 24d_b length, were constructed. One of the column sub-assemblages with lap splices of 20d_a, one with lap splices of 24d_a and the column with the continuous longitudinal bars were strengthened by reinforced concrete jacketing, while the lap-spliced bars of the old columns were welded.
Effectiveness of R/C jacketing of substandard R/C columns with short lap splices

Fig. 11 Plots of displacement-versus-strain of the longitudinal reinforcement for the retrofitted specimen RWL₂ and the original columns O₁ and O₂
Fig. 12 Post-damage views of the collapsed subassemblies (a) RC₂, (b) RWL₁, (c) RWL₂, (d) O₁ and (e) O₂
The two original specimens, with lap splices of 20dₜ and 24dₜ, and the three retrofitted column sub-assemblages were subsequently subjected to a large number of reversed lateral displacements to simulate the equivalent of strong earthquakes and the seismic performance of the structures was compared.

- The substandard original columns O₁ and O₂ performed poorly under the cyclic lateral loading and exhibited brittle premature failure of the lap splices. The load-deflection response of these columns was dominated by bond slip and the specimens finally collapsed under the axial load, due to the loss of load-carrying capacity.

- A significant improvement in the flexural strength, peak-to-peak stiffness and energy dissipation capacity of the retrofitted specimens with welded lap splices, RWL₁ and RWL₂, with respect to the lateral response of the original columns, O₁ and O₂, was observed during the seismic tests.

- Specimens RWL₁ and RWL₂ performed very satisfactorily during the cyclic loading sequence to failure, showing a seismic behavior similar to that of the control specimen RC₂ with continuous longitudinal reinforcement in the old column.

- The welding of the lap splices effectively created the continuity of the longitudinal spliced reinforcement, while the confinement provided by R/C jacketing further improved the load transfer between the spliced bars and prevented the significant bond slip deformations between concrete and the steel bars along the splice length. Thus, the strengthened specimens exhibit a desirable ductile failure in pure flexure, with the formation of the plastic hinges in the bottom end of the columns.

- The lap splice lengths of 20dₜ and 24dₜ were both deficient for transferring the significant tensile forces between the bars. This is reflected in the failure mode of the original sub-assemblages. Nevertheless, the retrofitted structures with lap splices of both 20dₜ and 24dₜ length in the old column showed great performance under large inelastic cyclic deformations.

- Ultimately, the retrofitting technique applied, proved to be an effective method for the pre-earthquake strengthening of columns with deficient lap splices.

References


